

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA, No. CR 09-00337-1 CW
Plaintiff,
v.
DANIEL LAWRENCE MCCOY,
Defendant.

ORDER DENYING
DEFENDANT'S MOTION
TO SEVER COUNTS AND
MOTION TO DISMISS
FOR VINDICTIVE
PROSECUTION
(Docket No. 69)

Defendant Daniel Lawrence McCoy moves to sever counts in the November 19, 2009 superseding indictment. He also moves to dismiss his case for vindictive prosecution. The government opposes Defendant's motions. The motions were taken under submission on the papers. Having considered the papers submitted by the parties, the Court DENIES Defendant's motion to sever and motion to dismiss for vindictive prosecution.

BACKGROUND

On April 1, 2009, a grand jury returned an indictment, charging Defendant with three counts: (1) possession with intent to distribute a controlled substance, in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(C); (2) being a felon in possession of a firearm and ammunition, in violation of 18 U.S.C. § 922(g)(1); and (3) possession of a firearm in relation to a drug trafficking crime, in violation of 18 U.S.C. § 924(c)(1)(A). These charges

1 stem from Defendant's arrest in Richmond, California on February
2 28, 2009. The indictment alleges that Defendant possessed, with
3 the intent to distribute, approximately seventy-nine methadone
4 pills and carried a firearm at the time.

5 On July 14, 2009, Defendant moved to suppress evidence from
6 his February 3, 2009 arrest, which occurred in El Cerrito,
7 California, and the February 28 arrest in Richmond. At that time,
8 he had not yet been charged with any offense based on his February
9 3 arrest, although he knew that a superseding indictment regarding
10 that arrest was impending. Defendant's motion was denied.

11 On November 19, 2009, a grand jury returned a superseding
12 indictment, charging Defendant with an additional count of
13 possession with intent to distribute a controlled substance, in
14 violation of 21 U.S.C. §§ 841(a)(1) and 841 (b)(1)(B)(iii). This
15 charge relates to Defendant's February 3 arrest in El Cerrito. The
16 superseding indictment alleges that, on February 3, Defendant
17 possessed, with the intent to distribute, five grams or more of a
18 mixture containing crack cocaine.

19 Defendant filed his current motions on March 5, 2010. His
20 motions were held in abeyance pending an evaluation of his
21 competency to stand trial and a change in his counsel. On
22 September 28, 2010, through his new attorney, Defendant renewed
23 these motions.

24 DISCUSSION

25 I. Motion to Sever Counts

26 Defendant moves to sever the count arising from his February 3
27 arrest from the counts related to his February 28 arrest. He

1 maintains that the counts were misjoined and that, even if they
2 were not, their joinder would prejudice him at trial.

3 Under Federal Rule of Criminal Procedure 8(a), counts may be
4 joined in an indictment "only 'if the offenses charged . . . are of
5 the same or similar character or are based on the same act or
6 transaction or on two or more acts or transactions connected
7 together or constituting parts of a common scheme or plan.'" 8
8 United States v. Smith, 795 F.2d 841, 850 (9th Cir. 1986) (quoting
9 Fed. R. Crim. P. 8(a)), cert. denied, 481 U.S. 1032 (1987). The
10 term "transaction" is to be interpreted flexibly and "may
11 comprehend a series of related occurrences." United States v.
12 Kinslow, 860 F.2d 963, 966 (9th Cir. 1988), cert. denied, 493 U.S.
13 829 (1989). "Because Rule 8 is concerned with the propriety of
14 joining offenses in the indictment, the validity of the joinder is
15 determined solely by the allegations in the indictment." United
16 States v. Terry, 911 F.2d 272, 276 (9th Cir. 1990).

17 Even if counts are properly joined, a court has discretion to
18 order separate trials of counts if "the joinder of offenses . . .
19 in an indictment . . . appears to prejudice a defendant." Fed. R.
20 Crim. P. 14(a). The Ninth Circuit has instructed that the "test is
21 whether joinder is so manifestly prejudicial that it outweighs the
22 dominant concern with judicial economy and compels the exercise of
23 the court's discretion to sever." United States v. Brashier, 548
24 F.2d 1315, 1323 (9th Cir. 1976). Prejudice may arise in that a
25 defendant (1) "may become embarrassed or confounded in presenting
26 separate defenses"; (2) "the jury may use the evidence of one of
27 the crimes charged to infer a criminal disposition on the part of

1 the defendant from which is found his guilt of the other crime or
2 crimes charged"; (3) "the jury may cumulate the evidence of the
3 various crimes charged and find guilt when, if considered
4 separately, it would not so find"; or (4) "[c]harging several
5 crimes may . . . create a latent feeling of hostility toward the
6 defendant." United States v. Johnson, 820 F.2d 1065, 1070 (9th
7 Cir. 1987) (quoting Drew v. United States, 331 F.2d 85, 88 (D.C.
8 Cir. 1964)) (internal quotation marks omitted). In evaluating a
9 request to sever based on Rule 14(a), courts must be mindful that
10 "'joinder is the rule rather than the exception.'" United States
11 v. Whitworth, 856 F.2d 1268, 1277 (9th Cir. 1988) (quoting United
12 States v. Armstrong, 621 F.2d 951, 954 (9th Cir. 1980)).

13 Defendant argues that the charges were misjoined because the
14 alleged offenses occurred several weeks apart and in different
15 cities. However, the February 3 and February 28 incidents both
16 involve drug transactions, and the charged drug offenses are of the
17 same or similar character. Defendant's case is analogous to United
18 States v. Rousseau, in which the indictment contained two charges
19 for being a felon in possession with a firearm, even though the
20 offenses occurred approximately eight months apart, in two
21 different cities, and involved separate firearms. 257 F.3d 925,
22 931-32 (9th Cir. 2001). The Ninth Circuit held that the two
23 charges were joined properly because they were of the same or
24 similar character. Id. at 932.

25 Defendant's reliance on Terry is unavailing. There, the
26 district court denied the defendant's motion to sever two drug-
27 related charges from a charge for being a felon in possession of a
28

1 firearm. 911 F.2d at 276. The drug charges related to the
2 defendant's arrest for possession of methamphetamines and precursor
3 chemicals; the firearm charge arose from a search of the
4 defendant's home, five days after his arrest, during which a
5 shotgun was found in a closet. Id. The Ninth Circuit concluded
6 that the counts were misjoined because the drug crimes were "wholly
7 different" from the firearm possession charge. Id. Based on the
8 indictment, there was no suggestion that the offenses were of the
9 same or similar character. Id. Here, although the offenses
10 occurred on different dates, the drug charges bear some
11 relationship to each other. Consequently, Terry is distinguishable
12 and does not warrant severance in this case.

13 Further, Defendant does not show that he would be prejudiced
14 by joinder. He provides only conclusory arguments that a single
15 trial on the alleged February 8 and February 29 drug offenses would
16 embarrass and confound him in raising his defense and risk
17 "prejudicial perceptions" by the jury. Mot. at 7. However, he
18 does not explain how his right to testify will be compromised, nor
19 why limiting instructions, if necessary, will be ineffective.

20 Accordingly, Defendant's motion to sever is denied.

21 II. Motion to Dismiss for Vindictive Prosecution

22 Defendant asserts that the charge based on his February 3
23 arrest in El Cerrito appears to be the product of vindictive
24 prosecution. He maintains that the government charged him with the
25 additional drug offense because he exercised his constitutional
26 right by moving to suppress evidence.

27 "A prosecutor violates due process when he seeks additional

1 charges solely to punish a defendant for exercising a
2 constitutional or statutory right." United States v. Gamez-Orduno,
3 235 F.3d 453, 462 (9th Cir. 2000) (citation omitted). However,
4 claims of vindictive prosecution are viewed differently "when the
5 additional charges are added during pretrial proceedings,
6 particularly when plea negotiations are ongoing, than when they are
7 added during or after trial." Id. In "the context of pretrial
8 plea negotiations vindictiveness will not be presumed simply from
9 the fact that a more severe charge followed on, or even resulted
10 from, the defendant's exercise of a right." Id.

11 Here, the challenged prosecutorial conduct occurred during
12 pretrial proceedings and apparently when plea negotiations were
13 taking place. Defendant's motion to dismiss rests only on the fact
14 that the superseding indictment was returned after he filed a
15 motion to suppress. This is not sufficient to raise a presumption
16 of vindictive prosecution. In Gamez-Orduno, the court rejected a
17 similar challenge by the defendants, who asserted that they were
18 charged with additional offenses because they moved to suppress
19 evidence. 235 F.3d at 462. The court explained that charging
20 additional offenses after a defendant files a motion to suppress,
21 "without more, raises no presumption of vindictiveness." Id. at
22 463.

23 Accordingly, Defendant's motion to dismiss based on vindictive
24 prosecution is denied.

25 CONCLUSION

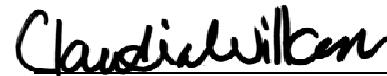
26 For the foregoing reasons, the Court DENIES Defendant's motion
27 to sever and motion to dismiss for vindictive prosecution. (Docket
28

1 No. 69.)

2 A final pretrial conference is set for November 3, 2010 at
3 2:00 p.m. A five-day trial is scheduled to begin on November 15,
4 2010 at 8:30 a.m.

5 IT IS SO ORDERED.

6 Dated: 11/12/2010



7 CLAUDIA WILKEN

8 United States District Judge